Form X-17A-5
FOCUS
Report
Part II
Cover Page

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
FOCUS REPORT (FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)
Part II 11

(Please read instructions before preparing Form)

2026-01-23 02:09PM EST
Status: Accepted

OMB Approval
OMB Number: 3235-0123, 3235-0749
Estimated average burden hours per response: 12.00 (3235-0123) 16.00 (3235-0749)

This report is being filed by a/an:

1) Broker-dealer not registered as an SBSD or MSBSP (stand-alone broker-dealer) . . . ☒ 12000
2) Broker-dealer registered as an SBSD (broker-dealer SBSD) . . . ☐ 12001
3) Broker-dealer registered as an MSBSP (broker-dealer MSBSP) . . . ☐ 12002
4) SBSD without a prudential regulator and not registered as a broker-dealer (stand-alone SBSD) . . . ☐ 12003
5) MSBSP without a prudential regulator and not registered as a broker-dealer (stand-alone MSBSP) . . . ☐ 12004
Check here if respondent is an OTC derivatives dealer . . . ☐ 12005

This report is being filed by a: Firm authorized to use models ☐ 12006 U.S. person ☒ 12007 Non-U.S. person ☐ 12008

This report is being filed pursuant to (check applicable block(s)):

1) Rule 17a-5(a) . . . ☒ 16
2) Rule 17a-5(b) . . . ☐ 17
3) Special request by DEA or the Commission . . . ☐ 19
4) Rule 18a-7 . . . ☐ 12999
5) Other (explain: ______________________) . . . ☐ 26

NAME OF REPORTING ENTITY: TRUISTSECURITIES, INC. 13

SEC FILE NO.: 8-17212 14

ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)
740 BATTERY AVE 20
(No. and Street)

FIRM ID NO.: 6271 15

ATLANTA 21 GA 22 30339 23
(City) (State/Province) (Zip Code)

FOR PERIOD BEGINNING (MM/DD/YY): 10/01/25 24

UNITED STATES 12009
(Country)

AND ENDING (MM/DD/YY): 12/31/25 25

NAME OF PERSON TO CONTACT IN REGARD TO THIS REPORT: Rachel Crawford 30

EMAIL ADDRESS: rachel.crawford@truist.com 12010

(AREA CODE) TELEPHONE NO.: 0 31

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT | OFFICIAL USE

	32		33
	34		35
	36		37
	38		39

Is this report consolidated or unconsolidated? . . . Consolidated ☐ 198 Unconsolidated ☒ 199

Does respondent carry its own customer or security-based swap customer accounts? . . . Yes ☒ 40 No ☐ 41

Check here if respondent is filing an audited report . . . N 42

EXECUTION: The registrant submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements, and schedules remain true, correct and complete as previously submitted.

Dated the ______________ day of ______________, 2 ______.

Signatures of:	Names of:
1) ______________ Principal Executive Officer or Comparable Officer	Tom Hackett 12011 Principal Executive Officer or Comparable Officer
2) Donald Morris Digitally signed by Donald Morris Date: 2026.01.23 14:58:31 -05'00' Principal Financial Officer or Comparable Officer	Donald Morris 12012 Principal Financial Officer or Comparable Officer
3) ______________ Principal Operations Officer or Comparable Officer	John Milligan 12013 Principal Operations Officer or Comparable Officer

ATTENTION: Intentional misstatements and/or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).)

Name of Firm: TRUIST SECURITIES, INC.
As of: 12/31/25

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Report
Part II

STATEMENT OF FINANCIAL CONDITION

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by a:
Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

ASSETS

Assets			Allowable		Non-Allowable		Total	
1. Cash			$ 72,137,664	200	$	12014	$ 72,137,664	750
2. Cash segregated in compliance with federal and other regulations			$ 1,000	210			$ 1,000	760
3. Receivables from brokers/dealers and clearing organizations organizations								
A. Failed to deliver								
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a			$ 1,640,638	220				
2. Other			$ 2,754,086	230			$ 4,394,724	770
B. Securities borrowed								
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a			$ 0	240				
2. Other			$ 1,291,845,974	250			$ 1,291,845,974	780
C. Omnibus accounts								
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a			$ 0	260				
2. Other			$ 0	270			$ 0	790
D. Clearing organizations								
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA			$ 0	280				
2. Other			$ 52,823,026	290			$ 52,823,026	800
E. Other			$ 0	300	$ 0	550	$ 0	810
4. Receivables from customers								
A. Securities accounts								
1. Cash and fully secured accounts			$ 0	310				
2. Partly secured accounts			$ 0	320	$ 0	560		
3. Unsecured accounts					$ 0	570		
B. Commodity accounts			$ 0	330	$ 0	580		
C. Allowance for doubtful accounts			$(0)	335	$(0)	590	$ 0	820
5. Receivables from non-customers								
A. Cash and fully secured accounts			$ 0	340				
B. Partly secured and unsecured accounts			$ 0	350	$ 0	600	$ 0	830
6. Excess cash collateral pledged on derivative transactions			$ 0	12015	$ 2,141,781	12016	$ 2,141,781	12017
7. Securities purchased under agreements to resell			$ 1,287,947,848	360	$ 0	605	$ 1,287,947,848	840
8. Trade date receivable			$ 27,379,687	292			$ 27,379,687	802
9. Total net securities, commodities, and swaps positions			$ 2,527,408,693	12019	$ 0	12022	$ 2,527,408,693	12024
10. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value								
A. Exempted securities	$ 0	150						
B. Other	$ 0	160	$ 0	460	$ 0	630	$ 0	880
11. Secured demand notes – market value of collateral								
A. Exempted securities	$ 0	170						
B. Other	$ 0	180	$ 0	470	$ 0	640	$ 0	890

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Stand-Alone MSBSP
Broker-Dealer MSBSP

Assets	Allowable		Non-Allowable		Total	
12. Memberships in exchanges:						
A. Owned, at market value $ 0 190						
B. Owned at cost			$ 0	650		
C. Contributed for use of company, at market value			$ 0	660	$ 0	900
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships	$ 0	480	$ 843,750	670	$ 843,750	910
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements At cost (net of accumulated depreciation and amortization)	$ 0	490	$ 71,300	680	$ 71,300	920
15. Other assets						
A. Dividends and interest receivable	$ 26,132,352	500	$ 0	690		
B. Free shipments	$ 0	510	$ 0	700		
C. Loans and advances	$ 0	520	$ 0	710		
D. Miscellaneous	$ 26,612,438	530	$ 284,349,934	720		
E. Collateral accepted under ASC 860	$ 0	536				
F. SPE Assets	$ 0	537			$ 337,094,724	930
16. TOTAL ASSETS	$ 5,316,683,406	540	$ 287,406,765	740	$ 5,604,090,171	940

Note: Stand-alone MSBSPs should only complete the Allowable and Total columns.

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Stand-Alone MSBSP
Broker-Dealer MSBSP

LIABILITIES AND OWNERSHIP EQUITY

Liabilities	A.I. Liabilities		Non-A.I. Liabilities		Total	
17. Bank loans payable:						
A. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA	$	1030	$	1240	$ 0	1460
B. Other	$	1040	$	1250	$ 0	1470
18. Securities sold under repurchase agreements			$	1260	$ 853,434,543	1480
19. Payable to brokers/dealers and clearing organizations						
A. Failed to receive:						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	$	1050	$	1270	$ 425,833	1490
2. Other	$	1060	$	1280	$ 0	1500
B. Securities loaned						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	$	1070			$ 0	1510
2. Other	$	1080	$	1290	$ 0	1520
C. Omnibus accounts						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	$	1090			$ 0	1530
2. Other	$	1095	$	1300	$ 0	1540
D. Clearing organizations						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA	$	1100			$ 0	1550
2. Other	$	1105	$	1310	$ 0	1560
E. Other	$	1110	$	1320	$ 6,426,527	1570
20. Payable to customers:						
A. Securities accounts - including free credits of $ 0 [950]	$	1120			$ 0	1580
B. Commodities accounts	$	1130	$	1330	$ 0	1590
21. Payable to non customers:						
A. Securities accounts	$	1140	$	1340	$ 0	1600
B. Commodities accounts	$	1150	$	1350	$ 0	1610
22. Excess cash collateral received on derivative transactions	$	12025	$	12026	$ 0	12027
23. Trade date payable	$	12031	$	12037	$ 0	1562
24. Total net securities, commodities, and swaps positions	$	12032	$	12038	$ 1,578,129,369	12044
25. Accounts payable and accrued liabilities and expenses						
A. Drafts payable	$	1160			$ 0	1630
B. Accounts payable	$	1170			$ 0	1640
C. Income taxes payable	$	1180			$ 8,563,689	1650
D. Deferred income taxes			$	1370	$ 2	1660
E. Accrued expenses and other liabilities	$	1190			$ 106,732,649	1670
F. Other	$	1200	$	1380	$ 1,534,185	1680
G. Obligation to return securities	$	12033	$	1386	$ 0	1686
H. SPE Liabilities	$	12045	$	1387	$ 0	1687

Name of Firm: TRUIST SECURITIES, INC.

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26. Notes and mortgages payable						
A. Unsecured	$	1210			$ 125,000,000	1690
B. Secured	$	1211	$	1390	$ 0	1700

Liabilities	A.I. Liabilities		Non-A.I. Liabilities		Total	
27. Liabilities subordinated to claims of						
A. Cash borrowings			$	1400	$ 0	1710
1. From outsiders	$ 0	970				
2. Includes equity subordination (Rule 15c3-1(d) or Rule 18a-1(g)) of	$ 0	980				
B. Securities borrowings, at market value			$	1410	$ 0	1720
1. From outsiders	$ 0	990				
C. Pursuant to secured demand note collateral agreements			$	1420	$ 0	1730
1. From outsiders	$ 0	1000				
2. Includes equity subordination (Rule 15c3-1(d) or Rule 18a-1(g)) of	$ 0	1010				
D. Exchange memberships contributed for use of company, at market value			$	1430	$ 0	1740
E. Accounts and other borrowings not qualified for net capital purposes	$	1220	$	1440	$ 0	1750
28. TOTAL LIABILITIES	$	1230	$	1450	$ 2,680,246,797	1760
Ownership Equity						
29. Sole proprietorship					$ 0	1770
30. Partnership and limited liability company – including limited partners/members	$ 0	1020			$ 0	1780
31. Corporation						
A. Preferred stock			$ 0	1791		
B. Common stock			$ 100,000	1792		
C. Additional paid in capital			$ 1,400,742,737	1793		
D. Retained Earnings			$ 1,523,000,637	1794		
E. Accumulated other comprehensive income			$ 0	1797		
F. Total					$ 2,923,843,374	1795
G. Less capital stock in treasury					$ (0)	1796
32. TOTAL OWNERSHIP EQUITY (sum of Line Items 1770, 1780, 1795, and 1796)					$ 2,923,843,374	1800
33. TOTAL LIABILITIES AND OWNERSHIP EQUITY (sum of Line Items 1760 and 1800)					$ 5,604,090,171	1810

COMPUTATION OF NET CAPITAL (FILER AUTHORIZED TO USE MODELS)

Items on this page to be reported by a:
Stand-Alone Broker-Dealer (Authorized to use models)
Stand-Alone SBSD (Authorized to use models)
Broker-Dealer SBSD (Authorized to use models)
Broker-Dealer MSBSP (Authorized to use models)

Computation Of Net Capital

1. Total ownership equity from Item 1800 . . . . $ ________ 3480

2. Deduct ownership equity not allowable for net capital . . . . $(________) 3490

3. Total ownership equity qualified for net capital . . . . $ ________ 3500

4. Add:

A. Liabilities subordinated to claims of creditors allowable in computation of net capital . . . . . . $ ________ 3520

B. Other (deductions) or allowable credits (list) . . . . $ ________ 3525

5. Total capital and allowable subordinated liabilities . . . . $ ________ 3530

6. Deductions and/or charges:

A. Total nonallowable assets from Statement of Financial Condition . . . . . . $ ________ 3540

1. Additional charges for customers' and non-customers' security accounts $ ________ 3550

2. Additional charges for customers' and non-customers' commodity accounts . . . . $ ________ 3560

3. Additional charges for customers' and non-customers' security-based swap accounts . . . . $ ________ 12047

4. Additional charges for customers' and non-customers' swap accounts $ ________ 12048

B. Aged fail-to-deliver: . . . . $ ________ 3570

1. number of items. . . . . ________ 3450

C. Aged short security differences-less reserve of . . . . $ ________ 3460 $ ________ 3580

number of items . . . . ________ 3470

D. Secured demand note deficiency . . . . $ ________ 3590

E. Commodity futures contracts and spot commodities - proprietary capital charges . . . . $ ________ 3600

F. Other deductions and/or charges . . . . $ ________ 3610

G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x) $ ________ 3615

H. Total deductions and/or charges (sum of Lines 6A-6G). . . . . $(________) 3620

7. Other additions and/or allowable credits (list) . . . . $ ________ 3630

8. Tentative net capital . . . . $ ________ 3640

9. Market risk exposure – for VaR firms (sum of Lines 9E, 9F, 9G, and 9H), ... $ ________ 3677

A. Total value at risk (sum of Lines 9A1-9A5) . . . . $ ________ 3634

Value at risk components

1. Fixed income VaR . . . . $ ________ 3636

2. Currency VaR . . . . $ ________ 3637

3. Commodities VaR . . . . $ ________ 3638

4. Equities VaR . . . . $ ________ 3639

5. Credit derivatives VaR . . . . $ ________ 3641

B. Diversification benefit . . . . $ ________ 3642

C. Total diversified VaR (sum of Lines 9A and 9B) . . . . $ ________ 3643

D. Multiplication factor . . . . $ ________ 3645

E. Subtotal (Line 9C multiplied by Line 9D) . . . . $ ________ 3655

F. Deduction for specific risk, unless included in Lines 9A-9E above . . . . . $ ________ 3646

COMPUTATION OF NET CAPITAL (FILER AUTHORIZED TO USE MODELS)

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Stand-Alone SBSD (Authorized to use models)
Broker-Dealer SBSD (Authorized to use models)
Broker-Dealer MSBSP (Authorized to use models)

G. Risk deduction using scenario analysis (sum of Lines 9G1-9G5) $ ________ 3647
- 1. Fixed income . . . . $ ________ 3648
- 2. Currency . . . . $ ________ 3649
- 3. Commodities . . . . $ ________ 3651
- 4. Equities . . . . $ ________ 3652
- 5. Credit derivatives . . . . $ ________ 3653

H. Residual marketable securities (see Rule 15c3-1(c)(2)(vi) or 18a-1(c)(1)(vii), as applicable)) . . . . $ ________ 3665

10. Market risk exposure – for Basel 2.5 firms (sum of Lines 10E, 10H, 10I, 10J, 10K, 10L, 10M, 10N, and 10O) $ ________ 12776

A. Total value at risk (sum of Lines 10A1-10A5) . . . . $ ________ 12762

Value at risk components
- 1. Fixed income VaR . . . . $ ________ 12758
- 2. Currency VaR . . . . $ ________ 12759
- 3. Commodities VaR . . . . $ ________ 12760
- 4. Equities VaR . . . . $ ________ 12761
- 5. Credit derivatives VaR . . . . $ ________ 12029

B. Diversification benefit . . . . $ ________ 12763

C. Total diversified VaR (sum of Line 10A and 10B) . . . . $ ________ 12030

D. Multiplication factor . . . . $ ________ 12764

E. Subtotal (Line 10C is multiplied by Line 10D) . . . . $ ________ 12765

F. Total stressed VaR (SVaR) . . . . $ ________ 12766

G. Multiplication factor . . . . $ ________ 12767

H. Subtotal (Line 10F multiplied by Line 10G). $ ________ 12768

I. Incremental risk charge (IRC) . . . . $ ________ 12769

J. Comprehensive risk measure (CRM) . . . . $ ________ 12770

K. Specific risk – standard specific market risk (SSMR) . . . . $ ________ 12771

L. Specific risk – securitization (SFA / SSFA) . . . . $ ________ 12772

M. Alternative method for equities under Appendix A to Rule 15c3-1 or Rule 18a-1a, as applicable . . . . $ ________ 12773

N. Residual positions . . . . $ ________ 12774

O. Other . . . . $ ________ 12775

11. Credit risk exposure for certain counterparties (see Appendix E to Rule 15c3-1 or Rule 18a-1(e)(2), as applicable)

A. Counterparty exposure charge (add Lines 11A1 and 11A2) . . . . $ ________ 3676
- 1. Net replacement value default, bankruptcy . . . . $ ________ 12049
- 2. Credit equivalent amount exposure to the counterparty multiplied by the credit-risk weight of the counterparty multiplied by 8% . . . . $ ________ 12050

B. Concentration charge . . . . $ ________ 3659
- 1. Credit risk weight ≤ 20% . . . . $ ________ 3656
- 2. Credit risk weight >20% and ≤ 50% . . . . $ ________ 3657
- 3. Credit risk weight >50% . . . . $ ________ 3658

C. Portfolio concentration charge . . . . $ ________ 3678

12. Total credit risk exposure (add Lines11A, 11B and 11C) . . . . $ ________ 3688

13. Net capital(for VaR firms, subtract Lines 9 and 12 from Line 8) (for Basel 2.5 firms, subtract Lines 10 and 12 from Line 8) . . . . $ ________ 3750

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COMPUTATION OF NET CAPITAL (FILER NOT AUTHORIZED TO USE MODELS)

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Stand-Alone SBSD (Not Authorized to use models)
Broker-Dealer SBSD (Not Authorized to use models)
Broker-Dealer MSBSP (Not Authorized to use models)

Computation of Net Capital

1. Total ownership equity from Item 1800					$ 2,923,843,374	3480
2. Deduct ownership equity not allowable for net capital					$(0)	3490
3. Total ownership equity qualified for net capital					$ 2,923,843,374	3500
4. Add:						
A. Liabilities subordinated to claims of creditors allowable in computation of net capital					$ 0	3520
B. Other (deductions) or allowable credits (list)					$ 0	3525
5. Total capital and allowable subordinated liabilities					$ 2,923,843,374	3530
6. Deductions and/or charges						
A. Total nonallowable assets from Statement of Financial Condition			$ 287,406,765	3540		
1. Additional charges for customers' and non-customers' security accounts			$ 3,768	3550		
2. Additional charges for customers' and non-customers' commodity accounts			$ 0	3560		
3. Additional charges for customers' and non-customers' security-based swap accounts			$ 0	12051		
4. Additional charges for customers' and non-customers' swap accounts			$ 0	12052		
B. Aged fail-to-deliver			$ 12,557	3570		
1. number of items	1	3450				
C. Aged short security differences-less reserve of	$	3460	$ 0	3580		
number of items	0	3470				
D. Secured demand note deficiency			$ 0	3590		
E. Commodity futures contracts and spot commodities - proprietary capital charges			$ 0	3600		
F. Other deductions and/or charges			$ 160,266,968	3610		
G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x)			$ 0	3615		
H. Total deductions and/or charges					$(447,690,058)	3620
7. Other additions and/or allowable credits (list)					$ 0	3630
8. Tentative net capital (net capital before haircuts)					$ 2,476,153,316	3640
9. Haircuts on securities other than security-based swaps						
A. Contractual securities commitments			$ 1,900,475	3660		
B. Subordinated securities borrowings			$ 0	3670		
C. Trading and investment securities			$			
1. Bankers' acceptances, certificates of deposit, commercial paper, and money market instruments			$ 235,086	3680		
2. U.S. and Canadian government obligations			$ 6,625,585	3690		
3. State and municipal government obligations			$ 20,292,158	3700		
4. Corporate obligations			$ 506,335,533	3710		
5. Stocks and warrants			$ 10,631,627	3720		
6. Options			$ 0	3730		
7. Arbitrage			$ 0	3732		
8. Risk-based haircuts computed under 17 CFR 240.15c3-1a or 17 CFR 240.18a-1a			$ 0	12028		
9. Other securities			$ 69,334,819	3734		
D. Undue concentration			$ 0	3650		
E. Other (List:)			$ 0	3736		
10. Haircuts on security-based swaps			$ 0	12053		
11. Haircuts on swaps			$ 10,181,143	12054		
12. Total haircuts (sum of Lines 9A-9E, 10, and 11)					$(625,536,426)	3740
13. Net capital (Line 8 minus Line 12)					$ 1,850,616,890	3750

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

FOCUS Report Part II

COMPUTATION OF MINIMUM REGULATORY CAPITAL REQUIREMENTS

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSD (other than OTC Derivatives Dealer)
Broker-Dealer MSBSP

Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital	$		3640
2. Minimum tentative net capital requirement	$		12055
3. Excess tentative net capital (difference between Lines 1 and 2)	$		12056
4. Tentative net capital in excess of 120% of minimum tentative net capital requirement reported on Line 2	$		12057

Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement			
A. 62/3% of total aggregate indebtedness (Line Item 3840)	$		3756
B. 2% of aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3	$	32,813	3870
i. Minimum CFTC net capital requirement (if applicable)	$		7490
C. Percentage of risk margin amount computed under 17 CFR 240.15c3-1(a)(7)(i) or (a)(10)	$		12058
D. For broker-dealers engaged in reverse repurchase agreements, 10% of the amounts in 17 CFR 240.15c3-1(a)(9)(i)-(iii)	$	0	12059
E. Minimum ratio requirement (sum of Lines 5A, 5B, 5C, and/or 5D, as applicable)	$	32,813	12060
6. Fixed-dollar minimum net capital requirement	$	1,000,000	3880
7. Minimum net capital requirement (greater of Lines 5E and 6)	$	1,000,000	3760
8. Excess net capital (Item 3750 minus Item 3760)	$	1,849,616,890	3910
9. Net capital and tentative net capital in relation to early warning thresholds			
A. Net capital in excess of 120% of minimum net capital requirement reported on Line 7	$	1,849,416,890	12061
B. Net capital in excess of 5% of combined aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3	$	1,850,534,858	3920

Computation of Aggregate Indebtedness (If Applicable)

10. Total aggregate indebtedness liabilities from Statement of Financial Condition (Item 1230)	$		3790
11. Add			
A. Drafts for immediate credit	$		3800
B. Market value of securities borrowed for which no equivalent value is paid or credited	$		3810
C. Other unrecorded amounts (list)	$		3820
D. Total additions (sum of Line Items 3800, 3810, and 3820)	$		3830
12. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (see Rule 15c3-1(c)(1)(vii))	$		3838
13. Total aggregate indebtedness (sum of Line Items 3790 and 3830)	$		3840
14. Percentage of aggregate indebtedness to net capital (Item 3840 divided by Item 3750)	%		3850
15. Percentage of aggregate indebtedness to net capital after anticipated capital withdrawals (Item 3840 divided by Item 3750 less Item 4880)	%		3853

Calculation of Other Ratios

16. Percentage of net capital to aggregate debits (Item 3750 divided by Item 4470)	%	112798.61	3851
17. Percentage of net capital, after anticipated capital withdrawals, to aggregate debits (Item 3750 less Item 4880, divided by Item 4470)	%	112798.61	3854
18. Percentage of debt to debt-to-equity total, computed in accordance with Rule 15c3-1(d)	%	0.00	3860
19. Options deductions/net capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6) and (c)(2)(x) divided by net capital	%		3852

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

COMPUTATION OF MINIMUM REGULATORY CAPITAL REQUIREMENTS

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by a: Stand-Alone SBSD
SBSD registered as an OTC Derivatives Dealer

Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital	$	3640
2. Fixed-dollar minimum tentative net capital requirement	$	12062
3. Excess tentative net capital (difference between Lines 1 and 2)	$	12063
4. Tentative net capital in excess of 120% of minimum tentative net capital requirements reported on Line 2	$	12064

Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement – Percentage of risk margin amount computed under 17 CFR 240.18a-1(a)(1)	$	12065
6. Fixed-dollar minimum net capital requirement	$	3880
7. Minimum net capital requirement (greater of Lines 5 and 6)	$	3760
8. Excess net capital (Item 3750 minus Item 3760)	$	3910
9. Net capital in excess of 120% of minimum net capital requirement reported on Line 7 (Line Item 3750 – [Line Item 3760 x 120%])	$	12066

Name of Firm: ______________

As of: ______________

COMPUTATION OF TANGIBLE NET WORTH

Items on this page to be reported by a: Stand-Alone MSBSP

1. Total ownership equity (from Item 1800) . . . . $ ________ 1800
2. Goodwill and other intangible assets . . . . $ ________ 12067
3. Tangible net worth (Line 1 minus Line 2) . . . . $ ________ 12068

2026-01-23 02:09PM EST
Status: Accepted

FOCUS
Report
Part II

STATEMENT OF INCOME (LOSS) OR STATEMENT OF COMPREHENSIVE INCOME, A

Items on this page to be reported by a:
Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

For the period (MMDDYY) from 10/01/25 [3932] to 12/31/25 [3933]

Number of months included in this statement 3 [3931]

REVENUE

1. Commissions				
A. Commissions on transactions in listed equity securities executed on an exchange			$ 7,621,276	3935
B. Commissions on transactions in exchange listed equity securities executed over-the-counter			$ 5,147,025	3937
C. Commissions on listed option transactions			$ 0	3938
D. All other securities commissions			$ 4,332,976	3939
E. Total securities commissions			$ 17,101,277	3940
2. Gains or losses on firm securities trading accounts				
A. From market making in over-the-counter equity securities			$ 0	3941
1. Includes gains or losses on OTC market making in exchange-listed equity securities	$ 0	3943		
B. From trading in debt securities			$ (2,329,125)	3944
C. From market making in options on a national securities exchange			$ 0	3945
D. From all other trading			$ (6,258,503)	3949
E. Total gains or losses			$ (8,587,628)	3950
3. Gains or losses from derivatives trading			$ 0	3926
4. Gains or losses on firm securities investment accounts				
A. Includes realized gains or losses	$	4235		
B. Includes unrealized gains or losses	$	4236		
C. Total realized and unrealized gains or losses			$	3952
5. Gains or losses from underwriting and selling groups			$ 95,926,901	3955
A. Includes underwriting income from corporate equity securities	$ 34,271,165	4237		
6. Margin interest			$ 0	3960
7. Revenue from sale of investment company shares			$ 0	3970
8. Fees for account supervision, investment advisory and administrative services			$ 0	3975
9. Revenue from research services			$ 0	3980
10. Gains or losses on commodities			$ 0	3990
11. Other revenue related to securities business			$ 212,010,985	3985
12. Other revenue			$ 0	3995
13. Total revenue			$ 316,451,535	4030

EXPENSES

14. Registered representatives' compensation			$ 69,310,883	4110
15. Clerical and administrative employees' expenses			$ 0	4040
16. Salaries and other employment costs for general partners, and voting stockholder officers			$ 0	4120
A. Includes interest credited to general and limited partners' capital accounts	$ 0	4130		
17. Floor brokerage paid to certain brokers (see definition)			$ 0	4055
18. Commissions and clearance paid to all other brokers (see definition)			$ 0	4145
19. Clearance paid to non-brokers (see definition)			$ 0	4135
20. Communications			$ 73,417	4060
21. Occupancy and equipment costs			$ 3,395,918	4080
22. Promotional costs			$ 1,476,147	4150
23. Interest expense			$ 31,214,508	4075
A. Includes interest on accounts subject to subordination agreements	$ 0	4070		
24. Losses in error account and bad debts			$ 25,183	4170
25. Data processing costs (including service bureau service charges)			$ 13,641,168	4186
26. Non-recurring charges			$ 0	4190

NAME OF REPORTING ENTITY
TRUIST SECURITIES, INC.

FOR THE PERIOD (MMDDYY) FROM 10/01/25 3932 TO 12/31/25 3933
NUMBER OF MONTHS INCLUDED IN THIS STATEMENT 3 3931

2026-01-23 02:09PM EST
Status: Accepted

FOCUS
Report
Part II

STATEMENT OF INCOME (LOSS) OR STATEMENT OF COMPREHENSIVE INCOME, AS APPLICABLE

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

27. Regulatory fees and expenses			$ 2,074,824	4195
28. Other expenses			$ 74,481,310	4100
29. Total expenses			$ 195,693,358	4200

NET INCOME/COMPREHENSIVE INCOME

30. Income or loss before federal income taxes and items below (Line 13 less Line 29)			$ 120,758,177	4210
31. Provision for Federal Income taxes (for parent only)			$ 24,266,560	4220
32. Equity in earnings or losses of unconsolidated subsidiaries not included above			$ 0	4222
A. After Federal income taxes of	$ 0	4238		
33. Net income or loss after federal income taxes			$ 96,491,617	4230
34. Other comprehensive income (loss)			$ 0	4226
A. After Federal income taxes of	$ 0	4227		
35. Comprehensive income (loss)			$ 96,491,617	4228

MONTHLY INCOME

36. Net income (current month only) before comprehensive income and provision for federal income taxes	$ 28,425,993	4211

2026-01-23 02:09PM EST
Status: Accepted

CAPITAL WITHDRAWALS

FOCUS
Report
Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Broker-Dealer MSBSP

OWNERSHIP EQUITY AND SUBORDINATED LIABILITIES MATURING OR PROPOSED TO BE WITHDRAWN WITHIN THE NEXT SIX MONTHS AND ACCRUALS, WHICH HAVE NOT BEEN DEDUCTED IN THE COMPUTATION OF NET CAPITAL

Type of Proposed withdrawal or Accrual (See below for code to enter)	Name of Lender or Contributor	Insider or Outsider ? (In or Out)	Amount to be with-drawn (cash amount and/or Net Capital Value of Securities)	(MM/DD/YY) Withdrawal or Maturity Date	Expect to Renew (Yes or No)
4600	4601	4602	$ 4603	4604	4605
4610	4611	4612	$ 4613	4614	4615
4620	4621	4622	$ 4623	4624	4625
4630	4631	4632	$ 4633	4634	4635
4640	4641	4642	$ 4643	4644	4645
4650	4651	4652	$ 4653	4654	4655
4660	4661	4662	$ 4663	4664	4665
4670	4671	4672	$ 4673	4674	4675
4680	4681	4682	$ 4683	4684	4685
4690	4691	4692	$ 4693	4694	4695
		TOTAL	$ 4699*		

* To agree with the total on Recap (Item No. 4880)

Instructions: Detailed listing must include the total of items maturing during the six month period following the report date, regardless of whether or not the capital contribution is expected to be renewed. This section must also include proposed capital withdrawals scheduled within the six month period following the report date including the proposed redemption of stock and payments of liabilities secured by fixed assets (which are considered allowable assets in the capital computation, which could be required by the lender on demand or in less than six months.

CODE:	DESCRIPTIONS:
1.	Equity Capital
2.	Subordinated Liabilities
3.	Accruals
4.	Assets not readily convertible into cash

Name of Firm: TRUIST SECURITIES, INC.
As of: 12/31/25

FOCUS
Report
Part II

CAPITAL WITHDRAWALS
RECAP

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Broker-Dealer MSBSP

OWNERSHIP EQUITY AND SUBORDINATED LIABILITIES MATURING OR PROPOSED TO BE WITHDRAWN WITHIN THE NEXT SIX MONTHS AND ACCRUALS, WHICH HAVE NOT BEEN DEDUCTED IN THE COMPUTATION OF NET CAPITAL

1. Equity capital			
A. Partnership and limited liability company capital			
1. General partners	$		4700
2. Limited partners and limited liability company members	$		4710
3. Undistributed profits	$		4720
4. Other (describe below)	$		4730
5. Sole proprietorship	$		4735
B. Corporation capital			
1. Common stock	$		4740
2. Preferred stock	$		4750
3. Retained earnings (dividends and other)	$		4760
4. Other (describe below)	$		4770
2. Subordinated liabilities			
A. Secured demand notes	$		4780
B. Cash subordinations	$		4790
C. Debentures	$		4800
D. Other (describe below)	$		4810
3. Other anticipated withdrawals			
A. Bonuses	$		4820
B. Voluntary contributions to pension or profit sharing plans	$		4860
C. Other (describe below)	$		4870
Total (sum of Lines 1-3):	$		4880

4. Description of Other

STATEMENT OF CHANGES IN OWNERSHIP EQUITY
(SOLE PROPRIETORSHIP, PARTNERSHIP, LLC OR CORPORATION)

1. Balance, beginning of period			$	2,818,448,243	4240
A. Net income (loss) or comprehensive income (loss), as applicable			$	96,491,617	4250
B. Additions (Includes non-conforming capital of	$	4262)	$	8,903,514	4260
C. Deductions (Includes non-conforming capital of	$	4272)	$	0	4270
2. Balance, end of period (From Item 1800)			$	2,923,843,374	4290

STATEMENT OF CHANGES IN LIABILITIES
SUBORDINATED TO CLAIMS OF CREDITORS

3. Balance, beginning of period	$	0	4300
A. Increases	$	0	4310
B. Decreases	$	0	4320
4. Balance, end of period (From item 3520)	$	0	4330

FOCUS Report Part II

FINANCIAL AND OPERATIONAL DATA

Items on this page to be reported by a:

- Stand-Alone Broker-Dealer
- Stand-Alone SBSD
- Broker-Dealer SBSD
- Broker-Dealer MSBSP

	Valuation		Number	
1. Month end total number of stock record breaks				
A. Breaks long unresolved for more than three business days	$ 0	4890	0	4900
B. Breaks short unresolved for more than seven business days after discovery	$ 0	4910	0	4920

2. Is the firm in compliance with Rule 17a-13 or 18a-9, as applicable, regarding periodic count and verification of securities positions and locations at least once in each calendar quarter ? (Check one) Yes ☒ 4930 No ☐ 4940

A) If response is negative attach explanation of steps being taken to comply with Rule 17a-13.

3. Personnel employed at end of reporting period		
A. Income producing personnel	420	4950
B. Non-income producing personnel (all other)	20	4960
C. Total (sum of Lines 3A-3B)	440	4970
4. Actual number of tickets executed during the reporting period	1,974,044	4980
5. Number of corrected customer confirmations sent after settlement date	83	4990

	No. of Items		Ledger Amount		Market Value	
6. Failed to deliver 5 business days or longer (21 business days or longer in the case of Municipal Securities)	1	5360	$ 73,876	5361	$ 72,140	5362
7. Failed to receive 5 business days or longer (21 business days or longer in the case of Municipal Securities)	1	5363	$ 93,477	5364	$ 90,794	5365

8. Security (including security-based swap) concentrations		
A. Proprietary positions for which there is an undue concentration	$ 0	5370
B. Customers' and security-based swap customers' accounts under Rules 15c3-3 or 18a-4, as applicable	$ 0	5374
9. Total of personal capital borrowings due within six months	$	5378
10. Maximum haircuts on underwriting commitments during the period	$ 463,253,884	5380
11. Planned capital expenditures for business expansion during next six months	$	5382
12. Liabilities of other individuals or organizations guaranteed by respondent	$	5384
13. Lease and rentals payable within one year	$ 0	5386
14. Aggregate lease and rental commitments payable for entire term of the lease		
A. Gross	$ 0	5388
B. Net	$	5390

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Broker-Dealer MSBSP

Operational Deductions from Capital – Note A

		I No. of Items		II Debits (Short Value) (Omit 000's)		III Credits (Long Value) (Omit 000's)		IV Deductions In Computing Net Capital (Omit Pennies)	
1. Money suspense and balancing differences		0	5610	$	5810	$	6010	$	6012
2. Security suspense and differences with related money balances	L	0	5620	$	5820	$	6020	$	6022
	S	0	5625	$	5825	$	6025	$	6027
3. Market value of short and long security suspense and differences without related money (other than reported in line 4, below)		0	5630	$	5830	$	6030	$	6032
4. Market value of security record breaks		0	5640	$	5840	$	6040	$	6042
5. Unresolved reconciling differences with others:									
A. Correspondents, broker-dealers, SBSDs, and MSBSPs	L	0	5650	$ 0	5850	$ 0	6050	$ 0	6052
	S	0	5655	$ 0	5855	$ 0	6055	$ 0	6057
B. Depositories		0	5660	$ 0	5860	$ 0	6060	$ 0	6062
C. Clearing Organizations	L	0	5670	$ 0	5870	$ 0	6070	$ 0	6072
	S	0	5675	$ 0	5875	$ 0	6075	$ 0	6077
D. Inter-company Accounts		0	5680	$ 0	5880	$ 0	6080	$ 0	6082
E. Bank Accounts and Loans		0	5690	$ 0	5890	$ 0	6090	$ 0	6092
F. Other		0	5700	$ 0	5900	$ 0	6100	$ 0	6102
G. (Offsetting) Lines 5A through 5F		0	5720	$ (0)	5920	$ (0)	6120		
TOTAL (Lines 5A-5G)		0	5730	$ 0	5930	$ 0	6130	$ 0	6132
6. Commodity Differences			5740	$	5940	$	6140	$	6142
7. Open transfers and reorganization account items over 40 days not confirmed or verified			5760	$	5960	$	6160	$	6162
8. TOTAL (Lines 1-7)		0	5770	$ 0	5970	$ 0	6170	$ 0	6172
9. Lines 1-6 resolved subsequent to report date			5775	$	5975	$	6175	$	6177
10. Aged Fails --to deliver		1	5780	$ 0	5980	$ 72	6180	$ 12,557	6182
--to receive		0	5785	$ 0	5985	$ 0	6185	$ 0	6187

NOTE A -- This section must be completed as follows:

1. The filers must complete Column IV, Lines 1 through 8 and 10, reporting deductions from capital as of the report date whether resolved subsequently or not (see instructions relative to each line item).
2. Columns I, II and III of Lines 1 through 8 must be completed only if the total deduction on Column IV of Line 8 equals or exceeds 25% of excess net capital as of the prior month end reporting date. All columns of Line 10 require completion.
3. A response to Columns I through IV of Line 9 and the "Potential Operational Charges Not Deducted From Capital-Note B" are required only if:
   A. The parameters cited in Note A-2 exist, and
   B. The total deduction, Line 8, Column IV, for the current month exceeds the total deductions for the prior month by 50% or more.
4. All columns and Lines 1 through 10 must be answered if required. If respondent has nothing to report, enter "0."

Other Operational Data (Items 1, 2 and 3 below require an answer)

Item 1. Have the accounts enumerated on Lines 5A through 5F above been reconciled with statements received from others within 35 days for Lines 5A through 5D and 65 days for Lines 5E and 5F prior to the report date and have all reconciling differences been appropriately comprehended in the computation of net capital at the report date? If this has not been done in all respects, answer No.

Yes	X	5600
No		5601

Item 2. Do the respondent's books reflect a concentrated position in commodities? If yes, report the totals ($000 omitted) in accordance with the specific instructions. If No, answer "0" for:

A. Firm trading and investment accounts	$ 0	5602
B. Customers' and non-customers' and other accounts	$	5603

Item 3. Does respondent have any planned operational changes? (Answer Yes or No based on specific instructions.)

Yes		5604
No	X	5605

FOCUS
Report
Part II

FINANCIAL AND OPERATIONAL DATA

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by a:
Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

Potential Operational Charges Not Deducted From Capital - Note B

		I No. of Items	II Debits (Short Value) (Report in Thousands)	III Credits (Long Value) (Report in Thousands)	IV Deductions in Computing Net Capital (Omit Pennies)
1. Money suspense and balancing differences		6210	$ 6410	$ 6610	$ 6612
2. Security suspense and differences with related money balances	L	6220	$ 6420	$ 6620	$ 6622
	S	6225	$ 6425	$ 6625	$ 6627
3. Market value of short and long security suspense and differences without related money (other than reported in line 4, below)		6230	$ 6430	$ 6630	$ 6632
4. Market value of security record breaks		6240	$ 6440	$ 6640	$ 6642
5. Unresolved reconciling differences with others:					
A. Correspondents, broker-dealers, SBSDs, and MSBSPs	L	6250	$ 6450	$ 6650	$ 6652
	S	6255	$ 6455	$ 6655	$ 6657
B. Depositories		6260	$ 6460	$ 6660	$ 6662
C. Clearing Organizations	L	6270	$ 6470	$ 6670	$ 6672
	S	6275	$ 6475	$ 6675	$ 6677
D. Inter-company Accounts		6280	$ 6480	$ 6680	$ 6682
E. Bank Accounts and Loans		6290	$ 6490	$ 6690	$ 6692
F. Other		6300	$ 6500	$ 6700	$ 6702
G. (Offsetting) Lines 5A through 5F		6310	$( ) 6510	$( ) 6710	
TOTAL (Lines 5A-5G)		6330	$ 6530	$ 6730	$ 6732
6. Commodity Differences		6340	$ 6540	$ 6740	$ 6742
7. TOTAL (Lines 1-6)		6370	$ 6570	$ 6770	$ 6772

NOTE B - This section must be completed as follows:

1. Lines 1 through 6 and Columns I through IV must be completed only if:
   A. The total deductions on Line 8, Column IV, of the "Operational Deductions From Capital-Note A" equal or exceed 25% of excess net capital as of the prior month end reporting date; and
   B. The total deduction on Line 8, Column IV, , of the "Operational Deductions From Capital-Note A" for the current month exceeds the total deductions for the prior month by 50% or more. If respondent has nothing to report, enter “0.”
2. Include only suspense and difference items open at the report date which were NOT required to be deducted in the computation of net capital AND which were not resolved seven (7) business days subsequent to the report date.
3. Include in Column IV only additional deductions not comprehended in the computation of net capital at the report date.
4. Include on Lines 5A through 5F unfavorable differences offset by favorable differences at the report date if resolution of the favorable items resulted in additional deductions in the computation of net capital subsequent to the report date.
5. Exclude from Lines 5A through 5F new reconciling differences disclosed as a result of reconciling with the books of account statements received subsequent to the report date.
6. Lines 1 through 5 above correspond to similar lines in the “Operational Deductions From Capita-Note A” and the same instructions should be followed except as stated in Notes B-1 through B-5 above.

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

FOCUS
Report
Part II

COMPUTATION FOR DETERMINATION OF CUSTOMER RESERVE REQUIREMENTS

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSD
Broker-Dealer MSBSP

CREDIT BALANCES

1. Free credit balances and other credit balances in customers' security accounts (see Note A)	$ 0	4340		
2. Monies borrowed collateralized by securities carried for the accounts of customers (see Note B)	$ 0	4350		
3. Monies payable against customers' securities loaned (see Note C)	$ 0	4360		
4. Customers' securities failed to receive (see Note D)	$ 425,833	4370		
5. Credit balances in firm accounts which are attributable to principal sales to customers	$ 0	4380		
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	$ 0	4390		
7. ** Market value of short security count differences over 30 calendar days old	$ 0	4400		
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	$ 364,985	4410		
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	$ 0	4420		
10. Other (List:)	$ 0	4425		
11. TOTAL CREDITS (sum of Lines 1-10)			$ 790,818	4430

DEBIT BALANCES

12. ** Debit balances in customers' cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (see Note E)	$ 0	4440		
13. Securities borrowed to effectuate short sales by customers and securities borrowed to make delivery on customers' securities failed to deliver	$ 0	4450		
14. Failed to deliver of customers' securities not older than 30 calendar days	$ 1,640,638	4460		
15. Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in customer accounts (see Note F)	$ 0	4465		
16. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in customer accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (see Note G)	$	4467		
17. Other (List:)	$ 0	4469		
18. ** Aggregate debit items (sum of Lines 12-17)			$ 1,640,638	4470
19. ** Less 3% (for alternative method only – see Rule 15c3-1(a)(1)(ii)) (3% x Line Item 4470)			$(49,219)	4471
20. **TOTAL DEBITS (Line 18 less Line 19)			$ 1,591,419	4472

RESERVE COMPUTATION

21. Excess of total debits over total credits (line 20 less line 11)			$ 800,601	4480
22. Excess of total credits over total debits (line 11 less line 20)			$ 0	4490
23. If computation is made monthly as permitted, enter 105% of excess of total credits over total debits			$ 0	4500
24. Amount held on deposit in "Reserve Bank Account(s)", including value of qualified securities, at end of reporting period	$ 30,404,297	4505	$ 30,405,297	4510
25. Amount of deposit (or withdrawal) including value of qualified securities	$ 0	4515	$ 0	4520
26. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including value of qualified securities	$ 30,404,297	4525	$ 30,405,297	4530
27. Date of deposit (MM/DD/YY)			08/25/25	4540

FREQUENCY OF COMPUTATION

28. Daily ______ 4332 Weekly X 4333 Monthly ______ 4334

** In the event the Net Capital Requirement is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-1a.

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

FOCUS
Report
Part II

POSSESSION OR CONTROL FOR CUSTOMERS

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSD
Broker-Dealer MSBSP

State the market valuation and the number of items of:

1. Customers' fully paid securities and excess margin securities not in the respondent's possession or control as of the report date (for which instructions to reduce to possession or control had been issued as of the report date) but for which the required action was not taken by respondent within the time frames specified under Rule 15c3-3. Notes A and B . . . .$ ______ 4586

A. Number of items . . . . ______ 4587

2. Customers' fully paid securities and excess margin securities for which instructions to reduce to possession or control had not been issued as of the report date, excluding items arising from "temporary lags which result from normal business operations" as permitted under Rule 15c3-3. Notes B,C and D . . . .$ ______ 4588

A. Number of items . . . . ______ 4589

3. The system and procedures utilized in complying with the requirement to maintain physical possession or control of customers' fully paid and excess margin securities have been tested and are functioning in a manner adequate to fulfill the requirements of Rule 15c3-3 . . . Yes ___X___ 4584 No ______ 4585

Notes:

A--Do not include in Line 1 customers' fully paid and excess margin securities required by Rule 15c 3-3 to be in possession or control but for which no action was required by the respondent as of the report date or required action was taken by respondent within the time frames specified under Rule 15c3-3.

B--State separately in response to Lines 1 and 2 whether the securities reported in response thereto were subsequently reduced to possession or control by the respondent.

C--Be sure to include in Line 2 only items not arising from "temporary lags which result from normal business operations" as permitted under Rule 15c3-3.

D--Line 2 must be responded to only with report which is filed as of the date selected for the broker's or dealer's annual audit of financial statements, whether or not such date is the end of a calendar quarter. The response to Line 2 should be filed within 60 calendar days after such date, rather than with the remainder of this report. This information may be required on a more frequent basis by the Commission or the designated examining authority in accordance with Rule 17a-5(a)(2)(iv).

FOCUS Report Part II

COMPUTATION FOR DETERMINATION OF PAB REQUIREMENTS

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSD
Broker-Dealer MSBSP

CREDIT BALANCES

1. Free credit balances and other credit balances in PAB security accounts (see Note A) . . . . . $ ______ 2110
2. Monies borrowed collateralized by securities carried for the accounts of PAB (see Note B) . . . . . $ ______ 2120
3. Monies payable against PAB securities loaned (see Note C) . . . . . $ ______ 2130
4. PAB securities failed to receive (see Note D) . . . . . $ ______ 2140
5. Credit balances in firm accounts which are attributable to principal sales to PAB . . . . . $ ______ 2150
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days . . . . . $ ______ 2152
7. ** Market value of short security count differences over 30 calendar days old . . . . . $ ______ 2154
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days . . . . . $ ______ 2156
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days . . . . . $ ______ 2158
10. Other (List: ______). . . . . $ ______ 2160
11. TOTAL PAB CREDITS (sum of Lines 1-10) . . . . . $ ______ 2170

DEBIT BALANCES

12. Debit balances in PAB cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (see Note E) . . . . . $ ______ 2180
13. Securities borrowed to effectuate short sales by PAB and securities borrowed to make delivery on PAB securities failed to deliver . . . . . $ ______ 2190
14. Failed to deliver of PAB securities not older than 30 calendar days . . . . . $ ______ 2200
15. Margin required and on deposit with Options Clearing Corporation for all option contracts written or purchased in PAB accounts (see Note F) . . . . . $ ______ 2210
16. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Exchange Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in PAB accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (see Note G) . . . . . $ ______ 2215
17. Other (List) . . . . . $ ______ 2220
18. TOTAL PAB DEBITS (sum of Lines 12-17) . . . . . $ ______ 2230

RESERVE COMPUTATION

19. Excess of total PAB debits over total PAB credits (line 18 less line 11) . . . . . $ ______ 2240
20. Excess of total PAB credits over total PAB debits (line 11 less line 18) . . . . . $ ______ 2250
21. Excess debits in customer reserve formula computation . . . . . $ 0 2260
22. PAB Reserve Requirement (line 20 less line 21) . . . . . $ 0 2270
23. Amount held on deposit in "Reserve Bank Account(s)", including $ ______ 2275 value of qualified securities, at end of reporting period . . . . . $ ______ 2280
24. Amount of deposit (or withdrawal) including $ ______ 2285 value of qualified securities . . . . . $ ______ 2290
25. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including $ ______ 2295 value of qualified securities . . . . . $ ______ 2300
26. Date of deposit (MMDDYY) . . . . . ______ 2310

FREQUENCY OF COMPUTATION

27. Daily ______ 2315 Weekly ______ 2320 Monthly ______ 2330

* See Notes regarding the PAB Reserve Bank Account Computation (Notes 1-10).

** In the event the net capital requirement is computed under the alternative method, this reserve formula shall be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-1a.

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by a:

Stand-Alone Broker-Dealer (if claiming an exemption from Rule 15c3-3)
Broker-Dealer SBSD (if claiming an exemption from Rule 15c3-3)
Broker-Dealer MSBSP (if claiming an exemption from Rule 15c3-3)

EXEMPTIVE PROVISION UNDER RULE 15c3-3

If an exemption from Rule 15c3-3 is claimed, identify below the section upon which such exemption is based (check all that apply):

A. (k) (1) – Limited business (mutual funds and/or variable annuities only) . . . . ________ 4550

B. (k) (2)(i) – "Special Account for the Exclusive Benefit of Customers" maintained . . . . ________ 4560

C. (k) (2)(ii) - All customer transactions cleared through another broker-dealer on a fully disclosed basis.

Name(s) of Clearing Firm(s):

________ 4335 ________ 4570

D. (k) (3) - Exempted by order of the Commission (include copy of letter) . . . . ________ 4580

2026-01-23 02:09PM EST
Status: Accepted

**FOCUS
Report
Part II**

COMPUTATION FOR DETERMINATION OF SECURITY-BASED SWAP CUSTOMER RESERVE REQUIREMENTS

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD

CREDIT BALANCES

1. Free credit balances and other credit balances in the accounts carried for security-based swap customers (see Note A) . . . . $ ______ 12069
2. Monies borrowed collateralized by securities in accounts carried for security-based swap customers (see Note B) . . . . $ ______ 12070
3. Monies payable against security-based swap customers' securities loaned (see Note C) . . . . $ ______ 12071
4. Security-based swap customers' securities failed to receive (see Note D) . . . . $ ______ 12072
5. Credit balances in firm accounts attributable to principal sales to security-based swap customers $ ______ 12073
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days . . . . $ ______ 12074
7. ** Market value of short security count differences over 30 calendar days old . . . . $ ______ 12075
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days . . . . $ ______ 12076
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days . . . . $ ______ 12077
10. Other (List) . . . . $ ______ 12078
11. TOTAL CREDITS (sum of Lines 1-10) . . . . $ ______ 12089

DEBIT BALANCES

12. Debit balances in accounts carried for security-based swap customers, excluding unsecured accounts and accounts doubtful of collection (see Note E) . . . . $ ______ 12079
13. Securities borrowed to effectuate short sales by security-based swap customers and securities borrowed to make delivery on security-based swap customers' securities failed to deliver . . . . $ ______ 12080
14. Failed to deliver of security-based swap customers' securities not older than 30 calendar days $ ______ 12081
15. Margin required and on deposit with Options Clearing Corporation for all option contracts written or purchased in accounts carried for security-based swap customers(see Note F) . . . . $ ______ 12082
16. Margin related to security future products written, purchased or sold in accounts carried for security- based swap customers required and on deposit in a qualified clearing agency account at a clearing agency registered with the Commission under section 17A of the Exchange Act(15 U.S.C. 78q-1) or a derivative clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) (see Note G) . . . . $ ______ 12083
17. Margin related to cleared security-based swap transactions in accounts carried for security-based swap customers required and on deposit in a qualified clearing agency account at a clearing agency registered with the Commission pursuant to section 17A of the Exchange Act (15 U.S.C. 78q-1) . . . . $ ______ 12084
18. Margin related to non-cleared security-based swap transactions in accounts carried for security-based swap customers required and held in a qualified registered security-based swap dealer account at another security-based swap dealer . . . . $ ______ 12085
19. Other (List) . . . . $ ______ 12086
20. **Aggregate debit items . . . . $ ______ 12090
21. **TOTAL DEBITS (sum of Lines 12-19) . . . . $ ______ 12091

RESERVE COMPUTATION

22. Excess of total debits over total credits (Line 21 less Line 11) . . . . $ ______ 12092
23. Excess of total credits over total debits (Line 11 less Line 21) . . . . $ ______ 12093
24. Amount held on deposit in "Reserve Account(s)," including value of qualified securities, at end of reporting period . . . . . $ ______ 12094
25. Amount of deposit(or withdrawal) including
$ ______ 12087 value of qualified securities . . . . $ ______ 12095
26. New amount in Reserve Account(s) after adding deposit or subtracting withdrawal including
$ ______ 12088 value of qualified securities . . . . $ ______ 12096
27. Date of deposit (MMDDYY) . . . . $ ______ 12097

** In the event the Net Capital Requirement is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-3b or 17 CFR 240.18a-4a, as applicable.

Name of Firm: TRUIST SECURITIES, INC.
As of: 12/31/25

FOCUS Report Part II	POSSESSION OR CONTROL FOR SECURITY-BASED SWAP CUSTOMERS
	Items on this page to be reported by a: Stand-Alone Broker-Dealer Stand-Alone SBSD Broker-Dealer SBSD

2026-01-23 02:09PM EST
Status: Accepted

State the market valuation and the number of items of:

1. Security-based swap customers' excess securities collateral not in the respondent's possession or control as of the report date (for which instructions to reduce to possession or control had been issued as of the report date) but for which the required action was not taken by respondent within the time frames specified under Rule 15c3-3(p) or Rule 18a-4, as applicable. Notes A and B . . . . $ __________ 12098
   A. Number of items . . . . __________ 12099
2. Security-based swap customers' excess securities collateral for which instructions to reduce possession or control had not been issued as of the report date under Rule 15c3-3(p) or Rule 18a-4, as applicable . . . $ __________ 12100
   A. Number of items . . . . __________ 12101
3. The system and procedures utilized in complying with the requirement to maintain physical possession or control of security-based swap customers' excess securities collateral have been tested and are functioning in a manner adequate to fulfill the requirements of Rule 15c3-3(p) or Rule 18a-4, as applicable . . . . Yes __________ 12102 No __________ 12103

Notes:

A -- Do not include in Line 1 security-based swap customers' excess securities collateral required to be in possession or control but for which no action was required by the respondent as of the report date or required action was taken by respondent within the required time frames.

B -- State separately in response to Line 1 whether the securities reported in response thereto were subsequently reduced to possession or control by the respondent.

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

2026-01-23 02:09PM EST
Status: Accepted

FOCUS
Report
Part II

Items on this page to be reported by a: Stand-Alone SBSD (if claiming an exemption from Rule 18a-4)
SBSD registered as an OTC Derivatives Dealer (if claiming an exemption from Rule 18a-4)

EXEMPTION FROM RULE 18a-4

If an exemption from Rule 18a-4 is claimed, check the box . . . . ☐ 12104

Name of Firm: ________________________________

As of: ________________

FOCUS
Report
Part II

COMPUTATION OF CFTC MINIMUM CAPITAL REQUIREMENTS

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by: Futures Commission Merchant

NET CAPITAL REQUIRED

A. Risk-Based Requirement

i. Amount of Customer Risk
Maintenance Margin . . . . $ ____________ 7415

ii. Enter 8% of line A.i . . . . $ ____________ 7425

iii. Amount of Non-Customer Risk
Maintenance Margin . . . . $ ____________ 7435

iv. Enter 8% of line A.iii . . . . $ ____________ 7445

v. Amount of uncleared swap margin . . . . . . $ ____________ 7446

vi. If the FCM is also registered as a swap dealer, enter 2% of Line A.v . . . . $ ____________ 7447

vii. Enter the sum of Lines Aii, A.iv and A.vi. . . . . $ ____________ 7455

B. Minimum Dollar Amount Requirement . . . . $ ____________ 7465

C. Other NFA Requirement . . . . $ ____________ 7475

D. Minimum CFTC Net Capital Requirement.
Enter the greatest of lines A.vii., B or C . . . . $ ____________ 7490

Note: If amount on Line D is greater than the minimum net capital requirement computed on Item 3760, then enter this greater amount on Item 3760. The greater of the amount required by the SEC or CFTC is the minimum net capital requirement.

CFTC early warning level – enter the greatest of 110% of Line A.vii. or 150% of Line B or 150% of Line C . . . $ ____________ 7495

FOCUS
Report
Part II

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

Items on this page to be reported by: Futures Commission Merchant

SEGREGATION REQUIREMENTS

1. Net ledger balance

A. Cash $ 7010

B. Securities (at market) $ 7020

2. Net unrealized profit (loss) in open futures contracts traded on a contract market $ 7030

3. Exchange traded options

A. Add market value of open option contracts purchased on a contract market $ 7032

B. Deduct market value of open option contracts granted (sold) on a contract market $( ) 7033

4. Net equity (deficit) (total of Lines 1, 2, and 3) $ 7040

5. Accounts liquidating to a deficit and accounts with debit balances

- gross amount $ 7045

Less: amount offset by customer owned securities $ ( ) 7047 $ 7050

6. Amount required to be segregated (add lines 4 and 5) $ 7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts

A. Cash $ 7070

B. Securities representing investments of customers' funds (at market) $ 7080

C. Securities held for particular customers or option customers in lieu of cash (at market) $ 7090

8. Margins on deposit with derivatives clearing organizations of contract markets

A. Cash $ 7100

B. Securities representing investments of customers' funds (at market) $ 7110

C. Securities held for particular customers or option customers in lieu of cash (at market) $ 7120

9. Net settlement from (to) derivatives clearing organizations of contract markets $ 7130

10. Exchange traded options

A. Value of open long option contracts $ 7132

B. Value of open short option contracts $( ) 7133

11. Net equities with other FCMs

A. Net liquidating equity $ 7140

B. Securities representing investments of customers' funds (at market) $ 7160

C. Securities held for particular customers or option customers in lieu of cash (at market) $ 7170

12. Segregated funds on hand (describe:) $ 7150

13. Total amount in segregation (add lines 7 through 12) $ 7180

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) $ 7190

15. Management Target Amount for Excess funds in segregation $ 7194

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess $ 7198

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER SECTION 4D(F) OF THE COMMODITY EXCHANGE ACT

Items on this page to be reported by: Futures Commission Merchant

CLEARED SWAPS CUSTOMER REQUIREMENTS

1. Net ledger balance						
A. Cash				$		8500
B. Securities (at market)				$		8510
2. Net unrealized profit (loss) in open cleared swaps				$		8520
3. Cleared swaps options						
A. Market value of open cleared swaps option contracts purchased				$		8530
B. Market value of open cleared swaps option contracts granted (sold)				$	( )	8540
4. Net equity (deficit) (add lines 1, 2 and 3)				$		8550
5. Accounts liquidating to a deficit and accounts with debit balances						
- gross amount	$		8560			
Less: amount offset by customer owned securities	$	( )	8570	$		8580
6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5)				$		8590

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash	$		8600
B. Securities representing investment of cleared swaps customers' funds (at market)	$		8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	$		8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash	$		8630
B. Securities representing investment of cleared swaps customers' funds (at market)	$		8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	$		8650
9. Net settlement from (to) derivatives clearing organizations	$		8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts	$		8670
B. Value of open cleared swaps short option contracts	$	( )	8680
11. Net equities with other FCMs			
A. Net liquidating equity	$		8690
B. Securities representing investment of cleared swaps customers' funds (at market)	$		8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	$		8710
12. Cleared swaps customer funds on hand (describe:)	$		8715
13. Total amount in cleared swaps customer segregation (add Lines 7 through 12)	$		8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13)	$		8730
15. Management target amount for excess funds in cleared swaps segregated accounts	$		8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess	$		8770

2026-01-23 02:09PM EST
Status: Accepted

FOCUS
Report
Part II

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

Items on this page to be reported by a: Futures Commission Merchant

1. Amount required to be segregated in accordance with 17 CFR 32.6 . . . . $ ____________ 7200

2. Funds/property in segregated accounts

A. Cash . . . . $ ____________ 7210

B. Securities (at market value) . . . . $ ____________ 7220

C. Total funds/property in segregated accounts . . . . $ ____________ 7230

3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1) . . . . $ ____________ 7240

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by a: Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$	7305
1. Net ledger balance - Foreign futures and foreign option trading - All Customers				
A. Cash			$	7315
B. Securities (at market)			$	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			$	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			$	7335
B. Market value of open contracts granted (sold) on a foreign board of trade			$	7337
4. Net equity (deficit)(add lines 1. 2. and 3.)			$	7345
5. Accounts liquidating to a deficit and accounts with				
debit balances - gross amount	$	7351		
Less: amount offset by customer owned securities	$( )	7352	$	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$	7360

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

FOCUS
Report
Part II

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by: Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States $ ________ 7500
   - B. Other banks qualified under 17 CFR. 30.7
     Name(s): ________ 7510 $ ________ 7520 $ ________ 7530
2. Securities
   - A. In safekeeping with banks located in the United States $ ________ 7540
   - B. In safekeeping with other banks designated by 17 CFR. 30.7
     Name(s): ________ 7550 $ ________ 7560 $ ________ 7570
3. Equities with registered futures commission merchants
   - A. Cash $ ________ 7580
   - B. Securities $ ________ 7590
   - C. Unrealized gain (loss) on open futures contracts $ ________ 7600
   - D. Value of long option contracts $ ________ 7610
   - E. Value of short option contracts $(________) 7615 $ ________ 7620
4. Amounts held by clearing organizations of foreign boards of trade
   Name(s): ________ 7630
   - A. Cash $ ________ 7640
   - B. Securities ________ 7650
   - C. Amount due to (from) clearing organizations - daily variation $ ________ 7660
   - D. Value of long option contracts $ ________ 7670
   - E. Value of short option contracts $(________) 7675 $ ________ 7680
5. Amounts held by members of foreign boards of trade
   Name(s): ________ 7690
   - A. Cash $ ________ 7700
   - B. Securities $ ________ 7710
   - C. Unrealized gain (loss) on open futures contracts $ ________ 7720
   - D. Value of long option contracts $ ________ 7730
   - E. Value of short option contracts $(________) 7735 $ ________ 7740
6. Amounts with other depositories designated by a foreign board of trade
   Name(s): ________ 7750 $ ________ 7760
7. Segregated funds on hand (describe: ________) $ ________ 7765
8. Total funds in separate 17 CFR 30.7 accounts $ ________ 7770
9. Excess (deficiency) set aside funds for secured amount
   (Line Item 7770 minus Line Item 7360) $ ________ 7380
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts $ ________ 7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess $ ________ 7785

Name of Firm: TRUIST SECURITIES, INC.
As of: 12/31/25

SCHEDULE 1 - AGGREGATE SECURITIES, COMMODITIES, AND SWAPS POSITIONS

2026-01-23 02:09PM EST
Status: Accepted

FOCUS Report Part II Schedule 1

Items on this page to be reported by:

Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

Aggregate Securities, Commodities, and Swaps Positions	LONG/BOUGHT		SHORT/SOLD	
1. U.S. treasury securities	$ 241,496,283	8200	$ 295,048,312	8201
2. U.S. government agency and U.S. government-sponsored enterprises	$ 0	8210	$ 0	8211
A. Mortgage-backed securities issued by U.S. government agency and U.S. government-sponsored enterprises	$ 0	18001	$	18002
B. Debt securities issued by U.S. government agency and U.S. government-sponsored enterprises	$ 0	18003	$ 0	18004
3. Securities issued by states and political subdivisions in the U.S	$ 300,745,195	8220	$ 0	8221
4. Foreign securities:				
A. Debt securities	$ 114,893,559	8230	$ 84,917,710	8231
B. Equity securities	$	8235	$	8236
5. Money Market Instruments	$ 390,576,357	8240	$	8241
6. Private Label Mortgage Backed Securities	$	8250	$	8251
7. Other asset-backed securities	$ 93,593,464	8260	$	8261
8. Corporate obligations	$ 1,363,474,022	8270	$ 1,138,471,856	8271
9. Stocks and warrants (other than arbitrage positions)	$ 22,623,892	8280	$ 59,634,748	8281
10. Arbitrage	$	8290	$	8291
11. Spot commodities	$	8330	$	8331
12. Other securities and commodities	$	8360	$	8361
13. Securities with no ready market				
A. Equity	$	8340	$	8341
B. Debt	$	8345	$	8346
C. Other	$	8350	$	8351
D. Total securities with no ready market	$	12777	$	12782
14. Total net securities and spot commodities (sum of Lines 1-12 and 13D)	$ 2,527,402,772	12778	$ 1,578,072,626	12783
15. Security-based swaps				
A. Cleared	$ 0	12106	$ 0	12114
B. Non-cleared	$ 0	12107	$ 0	12115
16. Mixed swaps				
A. Cleared	$	12108	$	12116
B. Non-cleared	$	12109	$	12117
17. Swaps				
A. Cleared	$ 0	12110	$ 0	12118
B. Non-cleared	$ 0	12111	$ 9,183,251	12119
18. Other derivatives and options	$ 5,921	8295	$ 56,744	8296
19. Counterparty netting	$(0)	12779	$(0)	12784
20. Cash collateral netting	$(0)	12780	$(9,183,251)	12785
21. Total derivative receivables and payables (sum of Lines 15-20)	$ 5,921	12781	$ 56,744	12786
22. Total net securities, commodities, and swaps positions (sum of Lines 14 and 21)	$ 2,527,408,693	8370	$ 1,578,129,370	8371

Name of Firm: TRUIST SECURITIES, INC.

As of: 12/31/25

2026-01-23 02:09PM EST
Status: Accepted

FOCUS
Report
Part II
Schedule 2

SCHEDULE 2 – CREDIT CONCENTRATION REPORT FOR FIFTEEN LARGEST EXPOSURES IN DERIVATIVES

Items on this page to be reported by:
Stand-Alone Broker-Dealer (Authorized to use models)
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

I. By Current Net Exposure

Counterparty Identifier	Gross Replacement Value		Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure	Margin Collected
	Receivable (Gross Gain)	Payable (Gross Loss)				
1. ______ 12120	$ ______ 12135	$ ______ 12151	$ ______ 12167	$ ______ 12183	$ ______ 12199	$ ______ 12215
2. ______ 12121	$ ______ 12136	$ ______ 12152	$ ______ 12168	$ ______ 12184	$ ______ 12200	$ ______ 12216
3. ______ 12122	$ ______ 12137	$ ______ 12153	$ ______ 12169	$ ______ 12185	$ ______ 12201	$ ______ 12217
4. ______ 12123	$ ______ 12138	$ ______ 12154	$ ______ 12170	$ ______ 12186	$ ______ 12202	$ ______ 12218
5. ______ 12124	$ ______ 12139	$ ______ 12155	$ ______ 12171	$ ______ 12187	$ ______ 12203	$ ______ 12219
6. ______ 12125	$ ______ 12140	$ ______ 12156	$ ______ 12172	$ ______ 12188	$ ______ 12204	$ ______ 12220
7. ______ 12126	$ ______ 12141	$ ______ 12157	$ ______ 12173	$ ______ 12189	$ ______ 12205	$ ______ 12221
8. ______ 12127	$ ______ 12142	$ ______ 12158	$ ______ 12174	$ ______ 12190	$ ______ 12206	$ ______ 12222
9. ______ 12128	$ ______ 12143	$ ______ 12159	$ ______ 12175	$ ______ 12191	$ ______ 12207	$ ______ 12223
10. ______ 12129	$ ______ 12144	$ ______ 12160	$ ______ 12176	$ ______ 12192	$ ______ 12208	$ ______ 12224
11. ______ 12130	$ ______ 12145	$ ______ 12161	$ ______ 12177	$ ______ 12193	$ ______ 12209	$ ______ 12225
12. ______ 12131	$ ______ 12146	$ ______ 12162	$ ______ 12178	$ ______ 12194	$ ______ 12210	$ ______ 12226
13 ______ 12132	$ ______ 12147	$ ______ 12163	$ ______ 12179	$ ______ 12195	$ ______ 12211	$ ______ 12227
14. ______ 12133	$ ______ 12148	$ ______ 12164	$ ______ 12180	$ ______ 12196	$ ______ 12212	$ ______ 12228
15. ______ 12134	$ ______ 12149	$ ______ 12165	$ ______ 12181	$ ______ 12197	$ ______ 12213	$ ______ 12229
All other counterparties	$ ______ 12150	$ ______ 12166	$ ______ 12182	$ ______ 12198	$ ______ 12214	$ ______ 12230
Totals:	$ ______ 7810	$ ______ 7811	$ ______ 7812	$ ______ 7813	$ ______ 7814	$ ______ 12231

II. By Current Net and Potential Exposure

Counterparty Identifier	Gross Replacement Value		Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure	Margin Collected
	Receivable (Gross Gain)	Payable (Gross Loss)				
1. ______ 12232	$ ______ 12247	$ ______ 12264	$ ______ 12281	$ ______ 12298	$ ______ 12315	$ ______ 12332
2. ______ 12233	$ ______ 12248	$ ______ 12265	$ ______ 12282	$ ______ 12299	$ ______ 12316	$ ______ 12333
3. ______ 12234	$ ______ 12249	$ ______ 12266	$ ______ 12283	$ ______ 12300	$ ______ 12317	$ ______ 12334
4. ______ 12235	$ ______ 12250	$ ______ 12267	$ ______ 12284	$ ______ 12301	$ ______ 12318	$ ______ 12335
5. ______ 12236	$ ______ 12251	$ ______ 12268	$ ______ 12285	$ ______ 12302	$ ______ 12319	$ ______ 12336
6. ______ 12237	$ ______ 12252	$ ______ 12269	$ ______ 12286	$ ______ 12303	$ ______ 12320	$ ______ 12337
7. ______ 12238	$ ______ 12253	$ ______ 12270	$ ______ 12287	$ ______ 12304	$ ______ 12321	$ ______ 12338
8. ______ 12239	$ ______ 12254	$ ______ 12271	$ ______ 12288	$ ______ 12305	$ ______ 12322	$ ______ 12339
9. ______ 12240	$ ______ 12255	$ ______ 12272	$ ______ 12289	$ ______ 12306	$ ______ 12323	$ ______ 12340
10. ______ 12241	$ ______ 12256	$ ______ 12273	$ ______ 12290	$ ______ 12307	$ ______ 12324	$ ______ 12341
11. ______ 12242	$ ______ 12257	$ ______ 12274	$ ______ 12291	$ ______ 12308	$ ______ 12325	$ ______ 12342
12. ______ 12243	$ ______ 12258	$ ______ 12275	$ ______ 12292	$ ______ 12309	$ ______ 12326	$ ______ 12343
13. ______ 12244	$ ______ 12259	$ ______ 12276	$ ______ 12293	$ ______ 12310	$ ______ 12327	$ ______ 12344
14. ______ 12245	$ ______ 12260	$ ______ 12277	$ ______ 12294	$ ______ 12311	$ ______ 12328	$ ______ 12345
15. ______ 12246	$ ______ 12261	$ ______ 12278	$ ______ 12295	$ ______ 12312	$ ______ 12329	$ ______ 12346
All other counterparties	$ ______ 12262	$ ______ 12279	$ ______ 12296	$ ______ 12313	$ ______ 12330	$ ______ 12347
Totals:	$ ______ 12263	$ ______ 12280	$ ______ 12297	$ ______ 12314	$ ______ 12331	$ ______ 12348

Name of Firm: ________________________________

As of: ________________

FOCUS Report Part II Schedule 3

SCHEDULE 3 – PORTFOLIO SUMMARY OF DERIVATIVES EXPOSURES BY INTERNAL CREDIT RATING

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by:
Stand-Alone Broker-Dealer (Authorized to use models)
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

	Internal Credit Rating	Gross Replacement Value		Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure	Margin Collected
		Receivable	Payable				
1.	12349	$ 12386	$ 12423	$ 12460	$ 12497	$ 12534	$ 12572
2.	12350	$ 12387	$ 12424	$ 12461	$ 12498	$ 12535	$ 12573
3.	12351	$ 12388	$ 12425	$ 12462	$ 12499	$ 12536	$ 12574
4.	12352	$ 12389	$ 12426	$ 12463	$ 12500	$ 12537	$ 12575
5.	12353	$ 12390	$ 12427	$ 12464	$ 12501	$ 12538	$ 12576
6.	12354	$ 12391	$ 12428	$ 12465	$ 12502	$ 12539	$ 12577
7.	12355	$ 12392	$ 12429	$ 12466	$ 12503	$ 12540	$ 12578
8.	12356	$ 12393	$ 12430	$ 12467	$ 12504	$ 12541	$ 12579
9.	12357	$ 12394	$ 12431	$ 12468	$ 12505	$ 12542	$ 12580
10.	12358	$ 12395	$ 12432	$ 12469	$ 12506	$ 12543	$ 12581
11.	12359	$ 12396	$ 12433	$ 12470	$ 12507	$ 12544	$ 12582
12.	12360	$ 12397	$ 12434	$ 12471	$ 12508	$ 12545	$ 12583
13	12361	$ 12398	$ 12435	$ 12472	$ 12509	$ 12546	$ 12584
14.	12362	$ 12399	$ 12436	$ 12473	$ 12510	$ 12547	$ 12585
15.	12363	$ 12400	$ 12437	$ 12474	$ 12511	$ 12548	$ 12586
16.	12364	$ 12401	$ 12438	$ 12475	$ 12512	$ 12549	$ 12587
17.	12365	$ 12402	$ 12439	$ 12476	$ 12513	$ 12550	$ 12588
18.	12366	$ 12403	$ 12440	$ 12477	$ 12514	$ 12551	$ 12589
19.	12367	$ 12404	$ 12441	$ 12478	$ 12515	$ 12552	$ 12590
20.	12368	$ 12405	$ 12442	$ 12479	$ 12516	$ 12553	$ 12591
21.	12369	$ 12406	$ 12443	$ 12480	$ 12517	$ 12554	$ 12592
22.	12370	$ 12407	$ 12444	$ 12481	$ 12518	$ 12555	$ 12593
23.	12371	$ 12408	$ 12445	$ 12482	$ 12519	$ 12556	$ 12594
24.	12372	$ 12409	$ 12446	$ 12483	$ 12520	$ 12557	$ 12595
25.	12373	$ 12410	$ 12447	$ 12484	$ 12521	$ 12558	$ 12596
26.	12374	$ 12411	$ 12448	$ 12485	$ 12522	$ 12559	$ 12597
27.	12375	$ 12412	$ 12449	$ 12486	$ 12523	$ 12560	$ 12598
28.	12376	$ 12413	$ 12450	$ 12487	$ 12524	$ 12561	$ 12599
29.	12377	$ 12414	$ 12451	$ 12488	$ 12525	$ 12562	$ 12600
30.	12378	$ 12415	$ 12452	$ 12489	$ 12526	$ 12563	$ 12601
31.	12379	$ 12416	$ 12453	$ 12490	$ 12527	$ 12564	$ 12602
32.	12380	$ 12417	$ 12454	$ 12491	$ 12528	$ 12565	$ 12603
33.	12381	$ 12418	$ 12455	$ 12492	$ 12529	$ 12566	$ 12604
34.	12382	$ 12419	$ 12456	$ 12493	$ 12530	$ 12567	$ 12605
35.	12383	$ 12420	$ 12457	$ 12494	$ 12531	$ 12568	$ 12606
36.	12384	$ 12421	$ 12458	$ 12495	$ 12532	$ 12569	$ 12607
Unrated	12385	$ 12422	$ 12459	$ 12496	$ 12533	$ 12570	$ 12608
Totals		$ 7822	$ 7823	$ 7821	$ 7820	$ 12571	$ 12609

Name of Firm: ______________________

As of: ____________

FOCUS
Report
Part II
Schedule 4

SCHEDULE 4 – GEOGRAPHIC DISTRIBUTION OF DERIVATIVES EXPOSURES FOR TEN LARGEST COUNTRIES

2026-01-23 02:09PM EST
Status: Accepted

Items on this page to be reported by: Stand-Alone Broker-Dealer (Authorized to use models)
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

I. By Current Net Exposure

		Gross Replacement Value		Net Replacement	Current Net	Current Net and	Margin Collected
	Country	Receivable	Payable	Value	Exposure	Potential Exposure	
1.	12610	$ 12620	$ 12630	$ 12640	$ 12650	$ 12661	$ 12671
2.	12611	$ 12621	$ 12631	$ 12641	$ 12651	$ 12662	$ 12672
3.	12612	$ 12622	$ 12632	$ 12642	$ 12652	$ 12663	$ 12673
4.	12613	$ 12623	$ 12633	$ 12643	$ 12653	$ 12664	$ 12674
5.	12614	$ 12624	$ 12634	$ 12644	$ 12654	$ 12665	$ 12675
6.	12615	$ 12625	$ 12635	$ 12645	$ 12655	$ 12666	$ 12676
7.	12616	$ 12626	$ 12636	$ 12646	$ 12656	$ 12667	$ 12677
8.	12617	$ 12627	$ 12637	$ 12647	$ 12657	$ 12668	$ 12678
9.	12618	$ 12628	$ 12638	$ 12648	$ 12658	$ 12669	$ 12679
10.	12619	$ 12629	$ 12639	$ 12649	$ 12659	$ 12670	$ 12680
Totals		$ 7803	$ 7804	$ 7802	$ 12660	$ 7801	$ 12681

II. By Current Net and Potential Exposure

		Gross Replacement Value		Net Replacement	Current Net	Current Net and	Margin Collected
	Country	Receivable	Payable	Value	Exposure	Potential Exposure	
1.	12682	$ 12692	$ 12703	$ 12714	$ 12725	$ 12736	$ 12747
2.	12683	$ 12693	$ 12704	$ 12715	$ 12726	$ 12737	$ 12748
3.	12684	$ 12694	$ 12705	$ 12716	$ 12727	$ 12738	$ 12749
4.	12685	$ 12695	$ 12706	$ 12717	$ 12728	$ 12739	$ 12750
5.	12686	$ 12696	$ 12707	$ 12718	$ 12729	$ 12740	$ 12751
6.	12687	$ 12697	$ 12708	$ 12719	$ 12730	$ 12741	$ 12752
7.	12688	$ 12698	$ 12709	$ 12720	$ 12731	$ 12742	$ 12753
8.	12689	$ 12699	$ 12710	$ 12721	$ 12732	$ 12743	$ 12754
9.	12690	$ 12700	$ 12711	$ 12722	$ 12733	$ 12744	$ 12755
10.	12691	$ 12701	$ 12712	$ 12723	$ 12734	$ 12745	$ 12756
Totals		$ 12702	$ 12713	$ 12724	$ 12735	$ 12746	$ 12757

Name of Firm: ________________________________

As of: ________________