

SUNTRUST INVESTMENT SERVICES, INC.
P.O. BOX 596
RICHMOND, VA 23286

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.58%
Limit orders as percentage of total non-directed orders	4.07%
Other orders as percentage of total non-directed orders	1.35%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	55.59%
2. VIRTU Americas LLC	33.29%
3. G1 Execution Services, LLC	5.56%
4. UBS Securities, LLC	2.03%
5. Two Sigma Securities, LLC	1.16%
6. New York Stock Exchange	1.13%
7. National Financial Services LLC	0.43%
8. Cboe EDGX U.S. Equities Exchange	0.36%
9. NASDAQ Execution Services, LLC	0.34%
10. Cboe BZX U.S. Equities Exchange	0.04%

Information Concerning Significant Venues:

1. Citadel Securities LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	56.83%
Limit orders as percentage of total non-directed orders	28.81%
Other orders as percentage of total non-directed orders	49.24%

2. VIRTU Americas LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	33.80%
Limit orders as percentage of total non-directed orders	20.43%
Other orders as percentage of total non-directed orders	36.72%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	5.74%
Limit orders as percentage of total non-directed orders	2.93%
Other orders as percentage of total non-directed orders	1.18%

4. UBS Securities, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	2.04%
Limit orders as percentage of total non-directed orders	1.01%
Other orders as percentage of total non-directed orders	4.74%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	1.11%
Limit orders as percentage of total non-directed orders	0.68%
Other orders as percentage of total non-directed orders	5.75%

6. New York Stock Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	27.46%
Other orders as percentage of total non-directed orders	0.68%

7. National Financial Services LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.43%
Limit orders as percentage of total non-directed orders	0.39%
Other orders as percentage of total non-directed orders	0.51%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	8.95%
Other orders as percentage of total non-directed orders	0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	8.22%
Other orders as percentage of total non-directed orders	0.17%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	1.07%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	92.00%
Limit orders as percentage of total non-directed orders	4.61%
Other orders as percentage of total non-directed orders	3.38%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	54.88%
2. VIRTU Americas LLC	33.26%
3. G1 Execution Services, LLC	5.20%
4. NASDAQ Execution Services, LLC	1.99%
5. UBS Securities, LLC	1.97%
6. Two Sigma Securities, LLC	1.16%
7. Cboe EDGX U.S. Equities Exchange	0.65%
8. VIRTU Americas LLC	0.43%
9. National Financial Services LLC	0.36%
10. State Street Global Markets, LLC	0.05%

Information Concerning Significant Venues:

1. Citadel Securities LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	56.73%
Limit orders as percentage of total non-directed orders	21.94%
Other orders as percentage of total non-directed orders	49.32%

2. VIRTU Americas LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	34.02%
Limit orders as percentage of total non-directed orders	17.13%
Other orders as percentage of total non-directed orders	34.43%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	5.51%
Limit orders as percentage of total non-directed orders	1.60%
Other orders as percentage of total non-directed orders	1.78%

4. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	42.79%
Other orders as percentage of total non-directed orders	0.41%

5. UBS Securities, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	2.08%
Limit orders as percentage of total non-directed orders	0.80%
Other orders as percentage of total non-directed orders	0.41%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	1.24%
Limit orders as percentage of total non-directed orders	0.20%
Other orders as percentage of total non-directed orders	0.27%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	14.13%
Other orders as percentage of total non-directed orders	0.00%

8. VIRTU Americas LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	12.57%

9. National Financial Services LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.35%
Limit orders as percentage of total non-directed orders	0.60%
Other orders as percentage of total non-directed orders	0.27%

10. State Street Global Markets, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.05%
Limit orders as percentage of total non-directed orders	0.10%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	95.18%
Limit orders as percentage of total non-directed orders	2.35%
Other orders as percentage of total non-directed orders	2.47%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	56.28%
2. VIRTU Americas LLC	32.29%
3. G1 Execution Services, LLC	5.61%
4. UBS Securities, LLC	2.09%
5. Two Sigma Securities, LLC	1.23%
6. NYSE Arca	0.79%
7. VIRTU Americas LLC	0.62%
8. Cboe EDGX U.S. Equities Exchange	0.37%
9. NASDAQ Execution Services, LLC	0.30%
10. Cboe BZX U.S. Equities Exchange	0.13%

Information Concerning Significant Venues:

1. Citadel Securities LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	57.19%
Limit orders as percentage of total non-directed orders	16.33%
Other orders as percentage of total non-directed orders	59.05%

2. VIRTU Americas LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	33.57%
Limit orders as percentage of total non-directed orders	8.84%
Other orders as percentage of total non-directed orders	5.39%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	5.77%
Limit orders as percentage of total non-directed orders	0.91%
Other orders as percentage of total non-directed orders	3.88%

4. UBS Securities, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	2.04%
Limit orders as percentage of total non-directed orders	1.36%
Other orders as percentage of total non-directed orders	4.53%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	1.23%
Limit orders as percentage of total non-directed orders	0.45%
Other orders as percentage of total non-directed orders	1.94%

6. NYSE Arca

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	33.56%
Other orders as percentage of total non-directed orders	0.00%

7. VIRTU Americas LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	25.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	15.87%
Other orders as percentage of total non-directed orders	0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	12.93%
Other orders as percentage of total non-directed orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.67%
Other orders as percentage of total non-directed orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	71.03%
Limit orders as percentage of total non-directed orders	25.62%
Other orders as percentage of total non-directed orders	3.35%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	41.34%
2. NASDAQ PHLX Options	19.92%
3. The MIAX Options Exchange	6.16%
4. BOX Option Exchange	5.07%
5. Cboe EDGX Option Exchange	4.86%
6. NYSE Arca Options	4.07%
7. NASDAQ Options Market	3.94%
8. ISE Gemini Options Exchange	3.90%
9. NYSE American Options	3.61%
10. Cboe BZX Options Exchange, Inc.	2.73%

Information Concerning Significant Venues:

1. Cboe Options Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	48.41%
Limit orders as percentage of total non-directed orders	19.97%
Other orders as percentage of total non-directed orders	55.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	22.85%
Limit orders as percentage of total non-directed orders	11.13%
Other orders as percentage of total non-directed orders	25.00%

3. The MIAX Options Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	6.38%
Limit orders as percentage of total non-directed orders	6.38%
Other orders as percentage of total non-directed orders	0.00%

4. BOX Option Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	6.67%
Limit orders as percentage of total non-directed orders	1.31%
Other orders as percentage of total non-directed orders	0.00%

5. Cboe EDGX Option Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	2.36%
Limit orders as percentage of total non-directed orders	11.62%
Other orders as percentage of total non-directed orders	6.25%

6. NYSE Arca Options

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	1.83%
Limit orders as percentage of total non-directed orders	10.47%
Other orders as percentage of total non-directed orders	2.50%

7. NASDAQ Options Market

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	1.24%
Limit orders as percentage of total non-directed orders	11.95%
Other orders as percentage of total non-directed orders	0.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	1.53%
Limit orders as percentage of total non-directed orders	10.97%
Other orders as percentage of total non-directed orders	0.00%

9. NYSE American Options

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	4.13%
Limit orders as percentage of total non-directed orders	2.62%
Other orders as percentage of total non-directed orders	0.00%

10. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue:

Market orders as percentage of total non-directed orders	1.36%
Limit orders as percentage of total non-directed orders	5.40%
Other orders as percentage of total non-directed orders	11.25%