

Truist Investment Services, Inc. - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2024

July 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	88.90	0.33	10.54	0.23

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC (NITE)	23.64	26.15	25.22	2.70	8.75	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Citadel Securities (CDRG)	21.54	23.69	11.30	2.40	80.63	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	16.15	17.94	13.91	1.44	1.88	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	15.14	16.80	12.61	1.58	0.63	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	11.74	13.11	26.09	0.00	1.25	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	5.27	0.00	3.04	49.92	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	3.74	0.00	3.48	35.39	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
StoneX Financial Inc (INTL)	0.80	0.88	1.30	0.11	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	0.78	0.87	1.30	0.05	1.88	0	0.0000	0	0.0000	0	0.0000	0	0.0000
CBOE EDGX U.S. Equities Exchange (EDGX)	0.53	0.00	0.00	5.04	0.63	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

G1 Execution Services, LLC (ETMM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Jane Street Capital, LLC (JNST):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):

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NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

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StoneX Financial Inc (INTL):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

UBS Securities LLC (UBSS):

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July 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	87.62	0.69	11.49	0.20

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC (NITE)	21.69	24.35	16.10	1.83	18.18	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Citadel Securities (CDRG)	19.53	21.84	15.92	1.28	71.52	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	17.41	19.58	37.50	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	14.72	16.58	9.25	1.05	2.42	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Jane Street Capital, LLC (JNST)	13.63	15.35	11.30	0.90	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	3.36	0.00	1.03	29.23	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	3.27	0.00	0.86	28.42	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	2.38	0.00	1.37	20.64	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):

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NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

July 2024

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	33.75	7.53	58.61	0.12

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	25.22	31.91	12.77	23.02	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	14.13	10.44	17.02	15.85	33.33	0	0.0000	0	0.0000	0	0.0000	0	0.0000
DASH FINANCIAL LLC (DFIN)	10.81	13.05	3.72	10.45	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	10.81	12.10	12.23	9.90	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Chicago Board Options Exchange (CBOE)	10.29	7.59	13.83	11.34	33.33	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	9.49	0.24	2.13	15.78	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley (MSCO)	8.61	8.54	28.19	6.15	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	5.48	13.52	2.66	1.23	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
CBOE BZX U.S. Equities Exchange (BATS)	5.16	2.61	7.45	6.28	33.33	8	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

DASH FINANCIAL LLC (DFIN):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

The Nasdaq Stock Market LLC (NSDQ):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Chicago Board Options Exchange (CBOE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Wolverine Execution Svc. (WEXX):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Morgan Stanley (MSCO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Susquehanna International Group, LLP (SUSQ):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

CBOE BZX U.S. Equities Exchange (BATS):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

August 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	90.10	0.31	9.23	0.37

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC (NITE)	23.75	25.96	20.35	2.19	25.09	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Citadel Securities (CDRG)	22.49	24.42	12.55	2.43	61.09	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	17.66	19.40	16.45	1.32	0.36	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	12.67	13.91	6.49	1.12	1.82	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	12.15	13.40	23.81	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	4.45	0.00	9.96	47.93	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	3.71	0.00	7.79	39.91	0.36	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	0.84	0.91	0.00	0.06	4.73	0	0.0000	0	0.0000	0	0.0000	0	0.0000
StoneX Financial Inc (INTL)	0.76	0.84	0.00	0.06	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	0.61	0.65	0.87	0.03	5.09	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Virtu Americas, LLC (NITE):

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August 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	88.26	0.75	10.74	0.25

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Virtu Americas, LLC (NITE)	21.32	23.76	13.43	1.80	21.82	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Citadel Securities (CDRG)	20.41	22.56	15.12	1.99	66.36	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	18.11	20.23	34.26	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	16.33	18.26	12.19	1.16	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	10.99	12.29	9.10	0.67	2.27	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	2.92	0.00	3.24	26.96	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	2.91	0.00	1.23	27.02	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	2.75	0.00	2.01	25.45	0.00	0	0.0000	00	0.0000	0	0.0000	0	0.0000
CBOE EDGX U.S. Equities Exchange (EDGX)	0.86	0.00	0.00	8.01	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

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UBS Securities LLC (UBSS)	0.75	0.82	0.77	0.09	5.45	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Virtu Americas, LLC (NITE):

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August 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	19.08	8.56	72.25	0.11

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	19.47	46.51	8.94	13.61	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	18.59	2.97	24.83	21.93	50.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

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The Nasdaq Stock Market LLC (NSDQ)	17.55	2.23	23.84	20.83	25.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Chicago Board Options Exchange (CBOE)	16.87	1.49	24.17	20.05	25.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
DASH FINANCIAL LLC (DFIN)	8.48	16.34	2.98	7.06	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	7.99	0.74	2.32	10.59	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley (MSCO)	6.15	11.29	8.94	4.47	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	4.02	17.53	3.31	0.55	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
CBOE BZX U.S. Equities Exchange (BATS)	0.51	0.45	0.33	0.55	0.00	1	0.0000	0	0.0000	0	0.0000	0	0.0000
Global Execution Brokers, LP (GEBB)	0.37	0.45	0.33	0.35	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

The Nasdaq Stock Market LLC (NSDQ):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Chicago Board Options Exchange (CBOE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

DASH FINANCIAL LLC (DFIN):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Wolverine Execution Svc. (WEXX):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Morgan Stanley (MSCO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Susquehanna International Group, LLP (SUSQ):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

CBOE BZX U.S. Equities Exchange (BATS):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Global Execution Brokers, LP (GEBB):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

September 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	88.68	0.30	10.73	0.30

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	23.65	26.09	17.71	1.95	85.63	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	18.21	20.30	6.29	1.51	9.77	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	17.00	18.95	12.57	1.46	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	16.29	18.19	12.57	1.16	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	11.85	13.28	24.00	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	4.91	0.00	9.71	45.53	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	4.68	0.00	13.71	43.28	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
UBS Securities LLC (UBSS)	0.83	0.92	0.00	0.08	1.72	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	0.83	0.91	0.57	0.10	2.87	0	0.0000	0	0.0000	0	0.0000	0	0.0000
StoneX Financial Inc (INTL)	0.74	0.83	0.57	0.02	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

G1 Execution Services, LLC (ETMM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Jane Street Capital, LLC (JNST):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NADAQ Execution Services, LLC (INET):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

UBS Securities LLC (UBSS):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Two Sigma Securities (SOHO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

StoneX Financial Inc (INTL):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

September 2024

Non-S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	87.05	0.83	11.87	0.25

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	20.97	23.40	16.58	2.03	86.59	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Fidelity CrossStream ATS (XSTM)	18.50	20.93	34.19	0.00	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Virtu Americas, LLC (NITE)	16.46	18.61	12.48	1.16	7.82	0	0.0000	0	0.0000	0	0.0000	0	0.0000
G1 Execution Services, LLC (ETMM)	15.02	17.04	11.11	0.79	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Jane Street Capital, LLC (JNST)	14.94	16.95	11.28	0.79	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	3.59	0.00	3.76	29.94	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE New York Stock Exchange, Inc (NYSE)	3.10	0.00	2.05	25.94	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NADAQ Execution Services, LLC (INET)	3.05	0.00	1.20	25.65	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CBOE EDGX U.S. Equities Exchange (EDGX)	0.88	0.00	0.00	7.40	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Two Sigma Securities (SOHO)	0.73	0.81	0.51	0.05	3.91	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

Fidelity CrossStream ATS (XSTM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

G1 Execution Services, LLC (ETMM):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Jane Street Capital, LLC (JNST):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

NADAQ Execution Services, LLC (INET):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

CBOE EDGX U.S. Equities Exchange (EDGX):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

Two Sigma Securities (SOHO):

Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

September 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	33.62	3.68	62.34	0.36

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	25.90	38.24	9.88	20.34	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
NYSE ARCA (ARCA)	12.09	5.54	1.23	16.18	25.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
DASH FINANCIAL LLC (DFIN)	11.81	13.24	7.41	11.37	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Wolverine Execution Svc. (WEXX)	11.77	0.41	6.17	18.29	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Susquehanna International Group, LLP (SUSQ)	11.22	26.76	7.41	3.13	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
The Nasdaq Stock Market LLC (NSDQ)	9.09	5.00	1.23	11.81	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Chicago Board Options Exchange (CBOE)	8.27	4.19	1.23	10.79	25.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Morgan Stanley (MSCO)	7.72	6.49	65.43	4.88	25.00	0	0.0000	0	0.0000	0	0.0000	-0	0.0000
CBOE BZX U.S. Equities Exchange (BATS)	1.54	0.14	0.00	2.26	25.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000
Global Execution Brokers, LP (GEBB)	0.59	0.00	0.00	0.95	0.00	0	0.0000	0	0.0000	0	0.0000	0	0.0000

Material Aspects:

Citadel Securities (CDRG):
 Truist Investment Services, Inc. (TIS) routes certain orders to Citadel Securities, LLC (CS); Per agreement with CS, TIS receives no payment for order flow.

NYSE ARCA (ARCA):
 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

DASH FINANCIAL LLC (DFIN):
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 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

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 Truist Investment Services, Inc. (TIS) routes most "non-directed orders" to National Financial Services LLC (NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow.

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