

<b>FACTS</b>	<b>WHAT DOES TRUIST INVESTMENT SERVICES, INC. (“TIS”) DO WITH YOUR PERSONAL INFORMATION?</b>	
<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
<b>What?</b>	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>▪ Social Security number and income</li> <li>▪ account balances and payment history</li> <li>▪ credit history and credit scores</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>	
<b>How?</b>	All financial companies need to share customers’ personal information to run their everyday business—to process transactions, maintain customer accounts, and report to credit bureaus. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons TIS chooses to share; and whether you can limit this sharing.	
<b>Reasons we can share your personal information</b>	<b>Does TIS share?</b>	<b>Can you limit this sharing?</b>
<b>For our everyday business purposes:</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes:</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don’t share
<b>For our affiliates’ everyday business purposes:</b> information about your transactions and experiences	Yes	No
<b>For our affiliates’ everyday business purposes:</b> information about your creditworthiness	Yes	Yes (See below) *
<b>For our affiliates to market to you</b>	Yes	Yes (See below) *
<b>For our nonaffiliates to market to you‡</b>	Yes	We don’t share**

## To limit our sharing

\* Call 1-800-432-9472 to manage preferences related to marketing and affiliate sharing—our menu will prompt you through your choices  
\*\* Call 1-800-874-4770 (option 3) to opt out your account from the sharing of contact information with a non-affiliated securities broker-dealer should your financial advisor's affiliation with TIS end. Your financial advisor may be permitted to use certain information to contact you once at a new firm, as a means to maintain and service your accounts. The information they may use is limited to your name, address, phone number, email address and account title (as permitted by Protocol for Broker Recruiting or "Broker Protocol").  
If you are a new customer, we can begin sharing information 30 days from the date you receive this notice. When you are no longer our customer, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing and to restrict telemarketing, direct marketing postal mail, and email solicitations.

## Questions?

• Call 1-800-432-9472 or go to <https://www.truist.com/privacy>

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## Who we are

### Who is providing this notice?

Truist Investment Services, Inc.

## What we do

### How does TIS protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. For more information, visit with <https://www.truist.com/fraud-and-security>.

Our employees are bound by our Code of Ethics and policies to access consumer information only for legitimate business purposes and to keep information about you confidential.

### How does TIS collect my personal information?

We collect your personal information, for example, when you:

- open an account or deposit money
- pay your bills or apply for a loan
- use your credit or debit card

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

<p><b>Why can't I limit all sharing?</b></p>	<p>Federal law gives you the right to limit sharing only for:</p> <ul style="list-style-type: none"> <li>▪ affiliates' everyday business purposes—information about your creditworthiness</li> <li>▪ affiliates to market to you</li> <li>▪ nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
<p><b>What happens when I limit sharing for an account I hold jointly with someone else?</b></p>	<p>Your choices related to marketing and affiliate sharing will apply individually unless you tell us otherwise. Opt outs related to Broker Protocol sharing, as described above, will apply to all individuals on your account.</p>
<p><b>Definitions</b></p>	
<p><b>Affiliates</b></p>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>Our affiliates include financial companies with Truist, in their name such as Truist Bank and other financial companies, such as GenSpring International LLC and Sterling Capital Management LLC.</i></li> </ul>
<p><b>Nonaffiliates</b></p>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>• <i>TIS only shares information pursuant to Broker Protocol, as described above.</i></li> </ul>
<p><b>Joint marketing</b></p>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>▪ <i>TIS does not have any joint marketing partners.</i></li> </ul>
<p><b>Other important information</b></p>	
<p><b>State and Local Regulations:</b> If, in addition to federal law, you are protected by specific state or local rules concerning information sharing and marketing, TIS complies with these protections as well.</p> <p><b>California:</b> Under California law, we will not share information we collect about CA residents with companies outside Truist unless we have your consent or the law allows. We will limit sharing among Truist entities to the extent required by California law. For information on our compliance with consumer rights laws, including the California Consumer Privacy Act (CCPA), please visit <a href="https://truist.com/privacy">truist.com/privacy</a>.</p> <p><b>Vermont:</b> Under Vermont law, we will not share information we collect about VT residents with non-Truist entities without your consent or unless the law allows. We also will not share your creditworthiness information with affiliates without your consent (this does not cover information about transactions or experiences, which may be shared with affiliates without consent).</p>	

**Nevada:** We are providing this notice under Nevada state law. If you prefer not to receive marketing calls from Truist, you may be placed on our internal Do Not Call List using the options above (see the “To limit our sharing” section, pg. 1). For more information on this Nevada law, contact the Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 E. Washington Street, Suite 3900, Las Vegas, NV 89101; Phone: 702.486.3132; Email: [BCPINFO@ag.state.nv.us](mailto:BCPINFO@ag.state.nv.us).

**Use of Third Parties:** We have arrangements with companies whose experience is essential for our own services to operate properly. These companies, some of which may be located outside the United States, work at TIS’s direction, only receive the information necessary to perform these functions, and adhere to TIS’s data security guidelines.

**Credit Reporting:** We may report information about your account(s) to credit bureaus. Late payments, missed payments, or other defaults on your account(s) may be reflected in your credit report.

**Do Not Call Policy:** This is notice of TIS’s Do Not Call Policy under the Telephone Consumer Protection Act. TIS abides by all federal and state regulations on telephone usage, including the maintenance of an internal Do Not Call List for consumers to request to no longer receive telephone solicitations and marketing from TIS. Consumers who ask to be included on TIS’s internal Do Not Call List will be removed from future campaigns, This opt out will remain in effect unless the consumer opts back in.

‡ Limited to contact information and account title only in instances of your financial advisor moving to a non-TIS affiliated securities broker-dealer.